

# Introduction to Modern Cryptography

## Recitation 10

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# Interactive Proof System (IP)

*Definition.* An **Interactive Proof System** for a language  $L$  is a two-party game between a PPT verifier  $V$  and a prover  $P$  that interact on a common input  $x$  in a way satisfying the following properties:

- **Completeness:** For all  $x \in L$ , the prover  $P$  convinces the verifier with probability at least  $1 - \varepsilon$

$$\Pr_V[(P, V)(x) = 1] \geq 1 - \varepsilon$$

- **Soundness:** For all  $x \notin L$ , any prover strategy  $P^*$  convinces the verifier with probability at most  $\varepsilon$

$$\Pr_V[(P^*, V)(x) = 1] \leq \varepsilon$$

# Interactive Proof System (IP)

- Any  $L \in NP$  has an IP system
  - Why?
  - Let  $R_L$  be the witness relation for  $L$
  - $L = \{x \mid \exists y. (x, y) \in R_L\}$  and  $R_L$  is recognizable in poly time
- The protocol on common input  $x$ , and  $P$ 's private witness  $y$
- $P \rightarrow V$ : send  $y$  to  $V$
- $V$  verifies using  $R_L$ 
  - Completeness?
  - Soundness?
  - Zero knowledge?
- GNI

# Zero Knowledge (ZK)

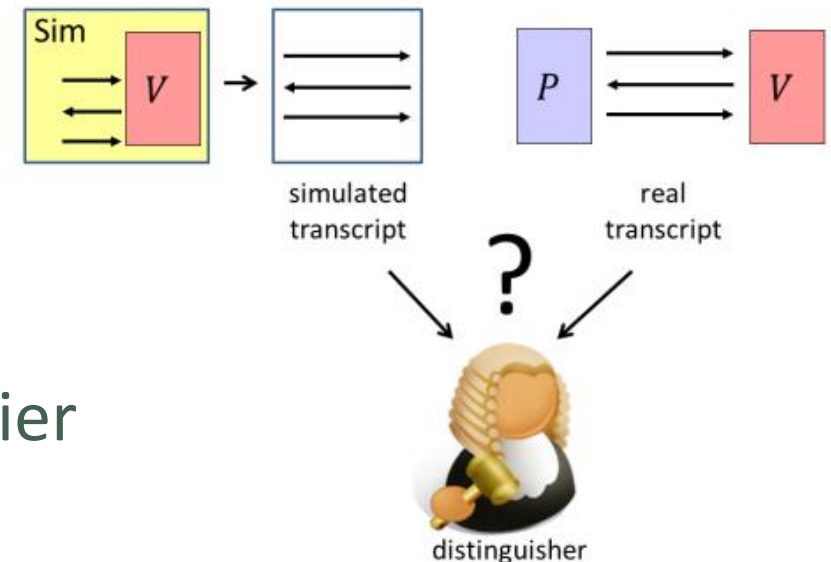
- What does  $V$  learn from the proof?
- What if  $V$  cheats?
- ZK:
  - The prover  $P$  convinces the verifier  $V$  that a statement is true,
  - Yet,  $V$  will not learn anything as a result of this process
- We'll say that an IP system  $(P, V)$  is ZK if whatever can be efficiently computed after interacting with  $P$  on input  $x$  can also be efficiently computed from  $x$  (without any interaction)

# Zero Knowledge (ZK)

*Definition.* An Interactive Proof System for a language  $L$  is (computational/statistical) **zero knowledge (ZK/CZK/SZK)** if for any PPT verifier  $V^*$ , there exist an *expected* PPT simulator  $S$  such that for every  $x \in L$ :

$$S(x) \approx_{c/s,\epsilon} \text{View}_{V^*}(P, V^*)(x)$$

- The view of  $V^*$  on  $x$ :
  - All messages sent from  $P$  to  $V^*$
  - All random bits used by  $V^*$  during the execution of the protocol on  $x$
- Can consider only the case of an honest verifier



## 3-Col

A protocol for proving 3-Col  $(P(\varphi), V)(G)$ :

**Common input:**  $G = (V, E)$

**Private input of  $P$ :**  $\varphi: V \rightarrow [3]$  such that  $\forall (u, v) \in E. \varphi(u) \neq \varphi(v)$

1.  $P \rightarrow V$ : Chooses a random permutation  $\sigma: [3] \rightarrow [3]$  and sends to  $V$  a commitment  $c_v = \text{COM}(\sigma(\varphi(v)))$  for every  $v \in V$

Let  $\varphi'(v) = \sigma(\varphi(v))$

2.  $V \rightarrow P$ : Picks an edge  $(u, v)$  and send  $u, v$  to  $P$
3.  $P \rightarrow V$ : Reveals  $\varphi'(u)$  and  $\varphi'(v)$
4.  $V$  accepts iff  $\varphi'(u) \neq \varphi'(v)$

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- Completeness:

- Perfect
- If  $\varphi$  is a valid 3-coloring then  $\varphi'(u) \neq \varphi'(v)$  for any edge  $(u, v)$
- $V$  accepts

## 3-Col

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- Soundness:

- If  $G \notin 3\text{-Col}$ , for every coloring  $\varphi'$  for which  $P^*$  commits, there exists an edge  $(u, v)$  such that  $\varphi'(u) = \varphi'(v)$ .
- Any prover  $P^*$  fails to convince  $V$  with probability at least  $\frac{1}{|E|}$
- $V$  accepts the false statement w.p  $\leq 1 - \frac{1}{|E|}$



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- CZK for honest verifier, a PPT simulator  $S(G)$ :

1. Choose a random edge  $(\tilde{u}, \tilde{v}) \in E$
2. Choose randomly two colors  $\varphi'(\tilde{u}) \neq \varphi'(\tilde{v})$  and complete the rest of the coloring randomly
3.  $M_1(P \rightarrow V)$ : A commitment  $c_v = \text{COM}(\varphi'(v))$  for every  $v \in V$
4.  $M_2(V \rightarrow P)$ :  $(\tilde{u}, \tilde{v})$
5.  $M_3(P \rightarrow V)$ : Reveal  $\varphi'(\tilde{u})$  and  $\varphi'(\tilde{v})$

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malicious

- CZK for ~~honest~~ verifier, an expected PPT simulator  $S(G)$ :

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2. Choose randomly two colors  $\varphi'(\tilde{u}) \neq \varphi'(\tilde{v})$  and complete the rest of the coloring randomly
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4.  $M_2(V \rightarrow P)$ : Query  $V^*$  for an edge  $(u^*, v^*)$
5.  $M_3(P \rightarrow V)$ : If  $(\tilde{u}, \tilde{v}) = (u^*, v^*)$ , reveal  $\varphi'(u)$  and  $\varphi'(v)$ , otherwise, rewind

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# Hamilton Cycle

A protocol for proving  $G$  has a Hamiltonian cycle  $(P(c), V)(G)$ :

**Common input:**  $G = (V, E), V = [1, \dots, n]$

**Private input of  $P$ :** A Hamiltonian cycle  $c$  in  $G$

1.  $P \rightarrow V$ : Chooses a random permutation on the vertices  $\sigma: [n] \rightarrow [n]$  and computes  $G_\sigma = \sigma(G)$  and send to  $V$  a commitment  $COM(\sigma)$  and a commitment of the adjacency matrix  $G_\sigma$
2.  $V \rightarrow P$ : Chooses a random  $b \in \{0,1\}$  and sends it to  $P$
3.  $P \rightarrow V$ : If  $b = 0 \rightarrow$  Reveals  $\sigma$  and  $G_\sigma$  to  $V$   
If  $b = 1 \rightarrow P$  uses  $\sigma(c)$  to show  $V$  a Hamiltonian cycle in  $G_\sigma$
4.  $V$  verifies the last message according to  $b$ :  
If  $b = 0 \rightarrow V$  checks that the information sent by  $P$  matches the commitment, and verifies  $G_\sigma = \sigma(G)$   
If  $b = 1 \rightarrow V$  checks if the cycle  $P$  sent is actually a Hamiltonian cycle

# Hamilton Cycle

- Completeness:
  - Perfect
  - If  $c$  is a valid Hamiltonian cycle then there exists a Hamiltonian cycle in  $\sigma(G)$
  - $V$  accepts
- Soundness:
  - If  $G \notin \text{HamCycle}$ , for every permutation  $\sigma$  for which  $P^*$  commits, there is no Hamiltonian cycle  $\rightarrow$  Any prover  $P^*$  fails to convince  $V$  with probability  $\frac{1}{2}$  (when  $V$  asks  $b = 1$ )
  - If  $P^*$  cheats in the first commitment and commits to a random graph with Hamiltonian cycle, he will be caught w.p  $\frac{1}{2}$  (when  $V$  asks for the isomorphism –  $b = 0$ )

# Hamilton Cycle

- CZK for honest verifier, a PPT simulator  $S(G)$ :

1. Choose a random bit  $\tilde{b} \in \{0,1\}$  and a random permutation on the vertices  $\sigma: [n] \rightarrow [n]$
2. If  $\tilde{b} = 0$ , commit to  $G_\sigma$   
If  $\tilde{b} = 1$ , commit to a random graph that contains a HamCycle (under the permutation  $\sigma$ )
3.  $M_1(P \rightarrow V)$ : The commitment chosen in step 2
4.  $M_2(V \rightarrow P)$ :  $\tilde{b}$
5.  $M_3(P \rightarrow V)$ : Reveal the commitment ( $\tilde{b} = 0$ ) or the cycle ( $\tilde{b} = 1$ )

# Hamilton Cycle

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# Hamilton Cycle

malicious

- CZK for ~~honest~~ verifier, an expected PPT simulator  $S(G)$ :

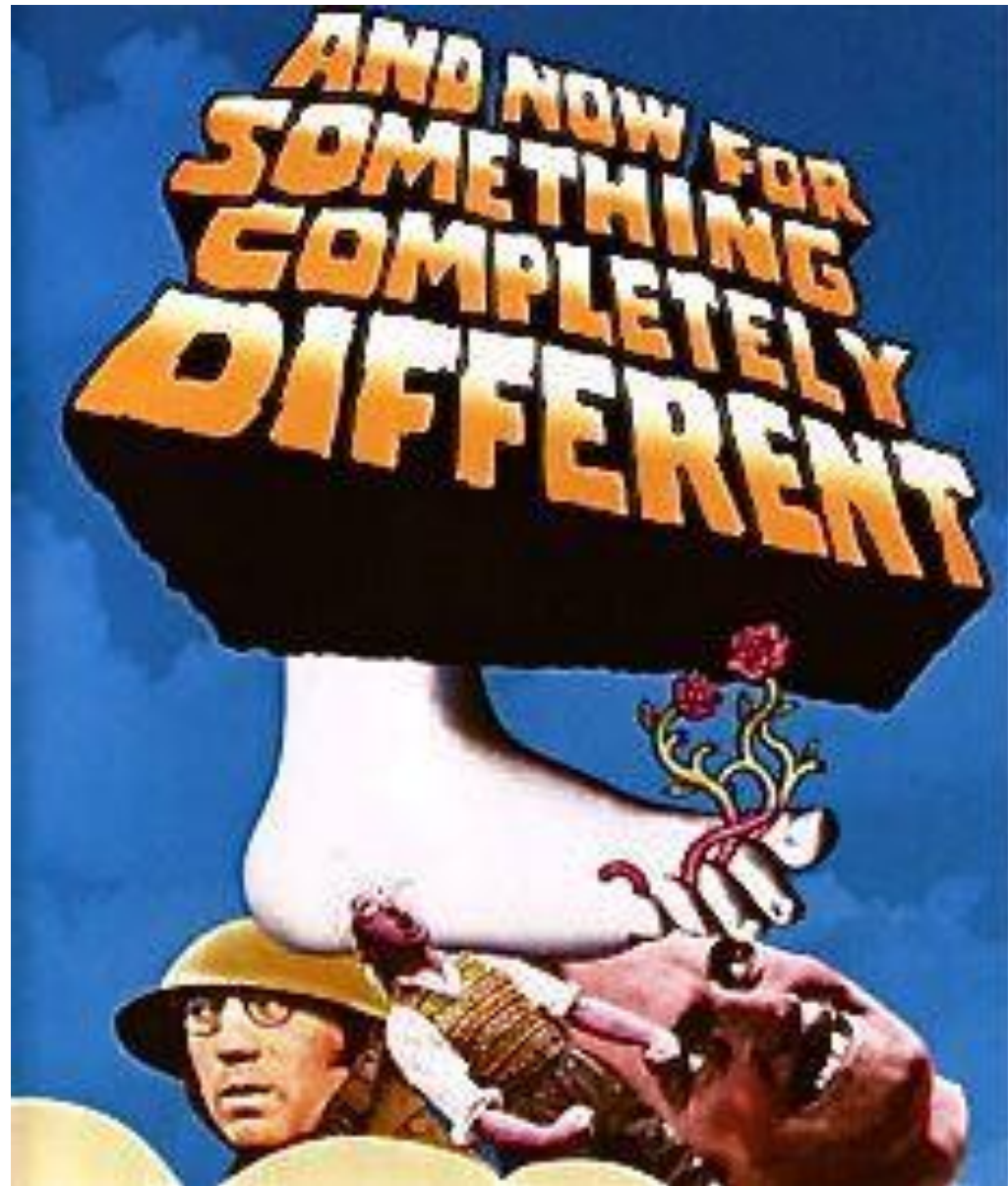
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5.  $M_3(P \rightarrow V)$ : If  $\tilde{b} = b^*$ , Reveal the commitment ( $\tilde{b} = 0$ ) or the cycle ( $\tilde{b} = 1$ )  
otherwise, rewind

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# Partially Homomorphic Encryption

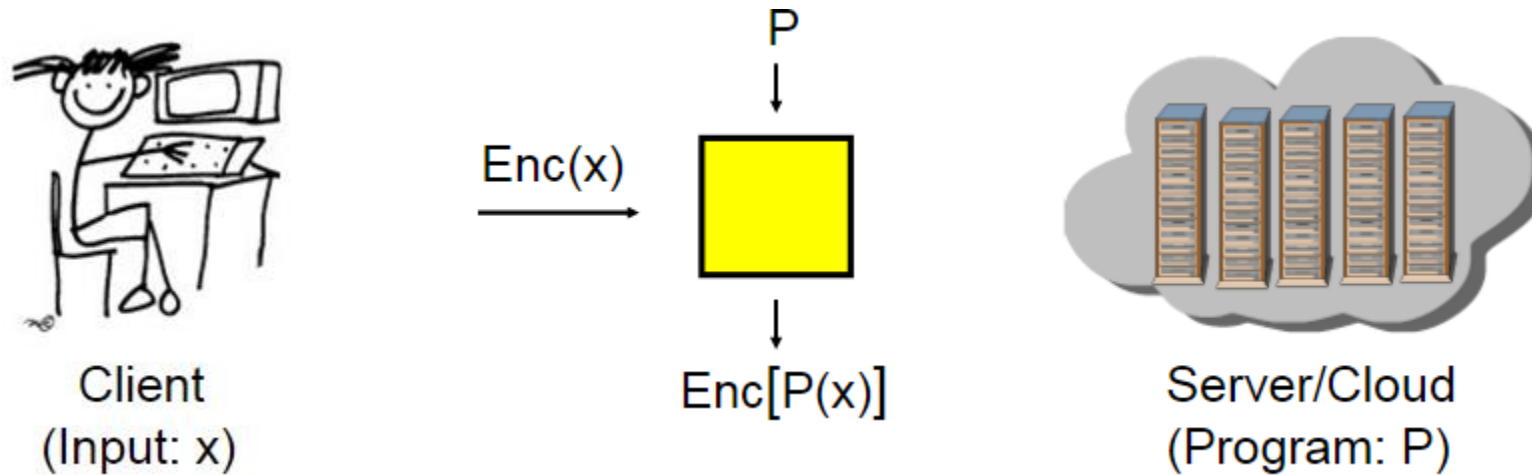
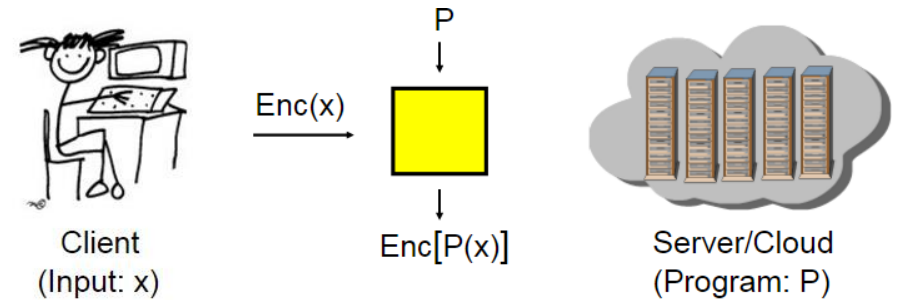


Image from: <http://slideplayer.com/slide/236532/>

# Partially Homomorphic Encryption



*Definition.* A PKE scheme  $(Gen, Enc, Dec)$  is (partially) **homomorphic** if for all  $pk, sk$  and for all  $m_1, c_1, m_2, c_2$ :

$$m_1 = Dec_{sk}(c_1) \text{ and } m_2 = Dec_{sk}(c_2) \rightarrow$$

$$Dec_{sk}(c_1 \tilde{\circ} c_2) = m_1 \odot m_2$$

# El Gamal Encryption Scheme- The Short Version

*El Gamal PKE Scheme.* Let  $G$  be a cyclic group of order  $q$  and a generator  $g \in G$ .

1. Key generation algorithm  $Gen$  chooses a random  $x \in \mathbb{Z}_q$ .

$$pk = (G, q, g, g^x), sk = (G, q, g, x)$$

2. To encrypt a message  $m \in G$  using  $pk = (G, q, g, h)$ :

- Choose a random  $y \leftarrow \mathbb{Z}_q$
- Compute  $g^y$
- Send  $Enc_{pk}(m) = (g^y, h^y \cdot m)$

3. To decrypt a message  $(c_1, c_2)$  using  $sk = (G, q, g, x)$ :

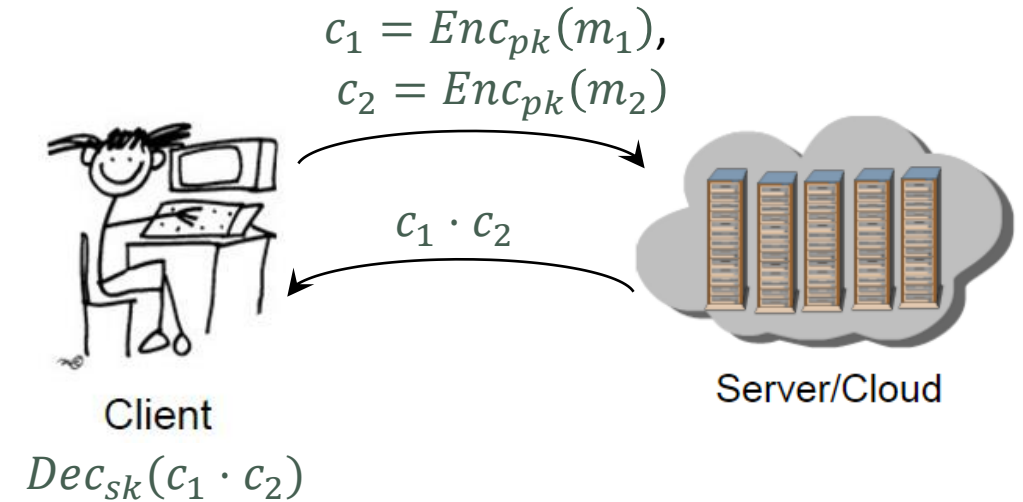
- Compute  $Dec_{sk}((c_1, c_2)) = c_2 \cdot (c_1^x)^{-1}$

# Partially Homomorphic Encryption

- El Gamal PKE scheme:
- $pk = (G, q, g, g^x = h)$
- $sk = x$
  
- $Enc_{pk}(m_1) = (g^y, h^y \cdot m_1) = c_1$
- $Enc_{pk}(m_2) = (g^{y'}, h^{y'} \cdot m_2) = c_2$
  
- $\rightarrow c_1 \cdot c_2 = (g^{y+y'}, h^{y+y'} \cdot (m_1 m_2))$
- $\rightarrow Dec_{sk}(c_1 \cdot c_2) = m_1 m_2$

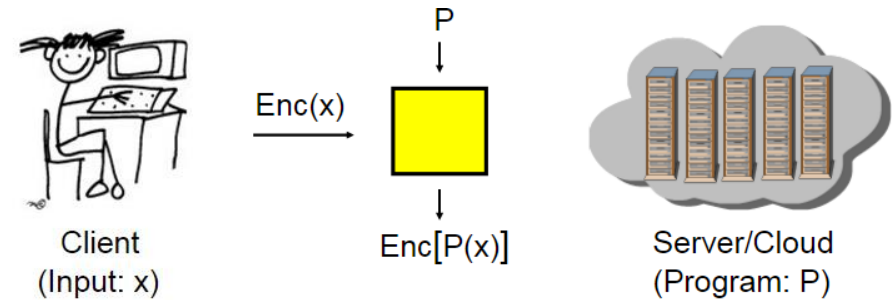
# Partially Homomorphic Encryption

- El Gamal PKE scheme:
- $pk = (G, q, g, g^x = h)$
- $sk = x$
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- $Enc_{pk}(m_2) = (g^{y'}, h^{y'} \cdot m_2) = c_2$
- $\rightarrow c_1 \cdot c_2 = (g^{y+y'}, h^{y+y'} \cdot (m_1 m_2))$
- $\rightarrow Dec_{sk}(c_1 \cdot c_2) = m_1 m_2$





# Fully Homomorphic Encryption



*Definition.* An encryption scheme  $(Gen, Enc, Dec, Eval)$  is (fully) **homomorphic (FHE)** if:

- $Gen, Enc, Dec$  as usual (assume private key for now)
- $Eval$ : A PPT algorithm that given  $F: \{0,1\}^n \rightarrow \{0,1\}^{n'}$  and an encryption  $c = Enc_{sk}(m)$ ,  $F$  is homomorphically computed
$$\tilde{c} = Eval(F, c) \sim Enc_{sk}(F(m))$$

# Fully Homomorphic Encryption

- Properties:
  - Semantic security  $Enc_{sk}(m_0) \approx_{c,\epsilon} Enc_{sk}(m_1)$
  - *Eval* has to be probabilistic
- We'll assume:
  - $F$  is efficiently computable
  - $\tilde{c}$  is taken from  $\{Enc_{sk}(F(m))\}$   
(the result of *Eval* is indistinguishable from regular encryption)
  - $|\tilde{c}|$  depends on  $|F(m)|$  (not on the running time of  $F$ )
  - Decryption time is not dependent on the computation time of  $F$

## ZK from FHE

- $L \in NP$  if
- There exists a function  $F_L(x, w)$  such that
- Completeness:  $\forall x \in L. \exists w. F_L(x, w) = 1$
- Soundness:  $\forall x \notin L. \forall w. F_L(x, w) \neq 1$
- $F_L$  is poly time in  $|x|$

## ZK for any $L \in NP$

- Let  $L \in NP$
- **First attempt:**

## ZK for any $L \in NP$

A protocol for proving  $x \in L$  ( $P(w), V$ )( $x$ ):

**Common input:**  $x$

**Private input of  $P$ :** A witness  $w$  such that  $F_L(x, w) = 1$

1.  $P \rightarrow V$ : Send to  $V$  an encryption of the witness  $c = Enc_{sk}(w)$
2.  $V \rightarrow P$ : Compute  $\hat{c} = Eval(F_L(x, \cdot), c) \sim Enc_{sk}(F_L(x, w))$  and send it to  $P$
3.  $P \rightarrow V$ : Decrypt  $b = Dec_{sk}(\hat{c})$  and send the result to  $V$
4.  $V$  accepts iff  $b = 1$

## ZK for any $L \in NP$

- Let  $L \in NP$
- **First attempt:**
  - Not sound – a cheating  $P^*$  can simply send  $b = 1$  on step 3

## ZK for any $L \in NP$

- Let  $L \in NP$
- **Second attempt:**

## ZK for any $L \in NP$

A protocol for proving  $x \in L (P(w), V)(x)$ :

**Common input:**  $x$

**Private input of  $P$ :** A witness  $w$  such that  $F_L(x, w) = 1$

1.  $P \rightarrow V$ : Send to  $V$  an encryption of the witness  $c = Enc_{sk}(w)$
2.  $V \rightarrow P$ : Flip a coin  $b \in \{0,1\}$   
If  $b' = 0 \rightarrow$  Compute  $\hat{c} = Eval(F_\phi(x, \cdot), c) \sim Enc_{sk}(0)$  and send it to  $P$  ( $F_\phi \equiv 0$ )  
If  $b' = 1 \rightarrow$  Compute  $\hat{c} = Eval(F_L(x, \cdot), c) \sim Enc_{sk}(F_L(x, w))$  and send it to  $P$
3.  $P \rightarrow V$ : Decrypt  $b = Dec_{sk}(\hat{c})$  and send the result to  $V$
4.  $V$  accepts iff  $b' = b$



## ZK for any $L \in NP$

- Let  $L \in NP$
- **Second attempt:**
  - Not ZK – a cheating  $V^*$  can run *Eval* on any function and learn information about  $w$  (e.g.,  $w$ 's first bit)

## ZK for any $L \in NP$

- Let  $L \in NP$
- **Third attempt:**

## ZK for any $L \in NP$

1.  $P \rightarrow V$ : Send to  $V$  an encryption of the witness  $c = Enc_{sk}(w)$
2.  $V \rightarrow P$ : Flip a coin  $b \in \{0,1\}$   
If  $b' = 0 \rightarrow$  Compute  $\hat{c} = Eval(F_\phi(x, \cdot), c) \sim Enc_{sk}(0)$  and send it to  $P$   
( $F_\phi \equiv 0$ )  
If  $b' = 1 \rightarrow$  Compute  $\hat{c} = Eval(F_L(x, \cdot), c) \sim Enc_{sk}(F_L(x, w))$  and send it to  $P$
3.  $P \rightarrow V$ : Decrypts  $b = Dec_{sk}(\hat{c})$  and send a commitment  $COM(b)$  to  $V$
4.  $V \rightarrow P$ : Sends all its randomness
5.  $P \rightarrow V$ : Verifies that  $\hat{c}$  is a valid homomorphic computation of  $F_L$  or  $F_\phi$  on  $c$ . If yes  $\rightarrow$  Reveals  $b$   
If no  $\rightarrow$  Stops
6.  $V$  accepts iff  $b' = b$